

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Small et al.

Title:

Catalytic Composition for Chemical-Mechanical Polishing, Method of

Using Same, and Substrate Treated with Same

Serial No.:

10/619,708

Filed:

July 14, 2003

Examiner:

Unknown

Group Art Unit:

3723

Docket No.:

CHEM.004US2

Conf. No.:

7752

Certificate of Mailing Under 37 CFR 1.8

Signature

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application.

This application has a filing date after June 30, 2003. Copies of the U.S. Patents and U.S. Published Patent Application documents listed on the accompanying Form PTO-1449 are not enclosed. Copies of the other publications listed on the accompanying Form PTO-1449 are enclosed.

Listing of these documents shall not be construed as:

- 1. an admission that any of these documents is necessarily prior art with respect to the instant invention;
 - 2. a representation that a search has been made; or
- 3. an admission that information in any of these documents is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

Respectfully submitted,

K. Alison de Runtz

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| U.S. Depar | tment of | Commerce, Patent a | nd Trademark | Atty. Docket No. | | | Application No. | | | | | | | | | | |
|----------------------------------------------------------------------------------|----------|--------------------------------------------------------------------------------------------------------------------------------------|-------------------|-----------------------------------------|------------------|-----------------|-----------------------------|----|-----------------|--|--|--|---------------|---------------|--|-------|------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | CHEM.004US2 Applicant(s) Small et al. | | | 10/619,708 Conf. No. 7752 | | | | | | | | | | |
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